Asset Trust Management (Pvt) Ltd
32, Dudley Senanayake Mawatha
(Castle Street),
Colombo 08, Sri Lanka
Telephone: +94112680519 / +94112680536

ASTRUE Active Income Fund Application Form

ASTRUE Active Income Fund is an authorised unit trust, licensed by

the Securities & Exchange Commission of Sri Lanka.

*Mandatory Fields
Complete in BLOCK CAPITALS   *(Important: please read the notes on the reverse)*

INVESTMENT AMOUNT (in figures)	LKR:									Application No:		

1. TO BE FILLED BY ALL APPLICANTS- MANDATORY FIELDS*

Please circle fields as applicable	Sole/primary/minor applicant*				Joint/ guardian applicant 1*				Joint/ guardian applicant 2*			
Status*	Rev	Mr	Mrs/ ms	Other	Rev	Mr	Mrs/ ms	Other	Rev	Mr	Mrs/ ms	Other
Surname with initials/ company name*												
Other names (as denoted by initials)												
Nic/passport/company reg no*												

2. FOLLOWING SECTION NEED NOT BE FILLED BY EXISTING UNITHOLDERS UNLESS TO INFORM A CHANGE OF INFORMATION

Address*			
Nationality / country of incorporation*			
Residency in sri lanka*	Resident ☐ Non resident ☐	Resident ☐ Non resident ☐	Resident ☐ Non-resident ☐
Occupation/ designation or industry			
Date of birth / incorporation (if companies) (DD/MM/YYYY)*			
Contact details* Tel (home) Tel (office) Mobile Email			
Dividend on these units	Sent to my above address ☐	re-invested into units ☐	sent to my bank ☐
Mailing instructions	*email ☐ (*please provide email indemnity)		post ☐
Operating instructions (joint applications only)	Any one of us ☐ Any two of us ☐ All of us ☐ Other (PLEASE SPECIFY) ☐		
Bank details*			
Bank name			
Branch name			
Account number			
Account name/ title			

3. TO BE FILLED BY ALL APPLICANTS- MANDATORY FIELDS

Declaration*	I / WE HAVE READ AND UNDERSTOOD THE EXPLANATORY MEMORANDUM PERTAINING TO THE UNITS THAT MAY BE ISSUED PURSUANT TO THIS APPLICATION FORM AND TERMS AND CONDITIONS SET OUT THEREIN. I / WE HEREBY AGREE TO ACCEPT AND TO BE BOUND BY THE PROVISIONS OF THE TRUST DEED AS AMENDED FROM TIME TO TIME. I / WE FURTHER CERTIFY THAT THE PARTICULARS GIVEN ABOVE ARE TRUE AND CORRECT TO THE BEST OF MY/OUR KNOWLEDGE AND BELIEF. I /WE FURTHER DECLARE THAT IN TERMS OF THE FINANCIAL TRANSACTION REPORTING ACT NO 6 OF 2006 AND THE PREVENTION OF MONEY LAUNDERING ACT NO 05 OF 2006, AS AMENDED, THE FUNDS IN RESPECT OF THIS INVESTMENT ORIGINATED FROM LEGITIMATE SOURCES		
Signature*			
Date*			

FOR OFFICE USE ONLY

Date funds realized		Unit price		Units	
Back office			Middle office		
Agent code			Agent name		
Client reference number					

Please read these notes before completing the Application Form as you are bound by the terms & conditions below

1. Units in the Trust are sold based on information contained in the Explanatory Memorandum, and such Units shall be issued pursuant to the provisions of the Trust Deed. Copies of the Trust Deed may be purchased from the Manager at Rs.1,000/- per copy.

2. Every application for the purchase of Units in the ASTRUE ACTIVE INCOME FUND should be for a minimum of Rs. 10,000/- and multiples of Rs. 1,000/- thereon. All applications should be submitted along with a duly completed KYC form for which a specimen is provided.

3. Applications can be made at Asset Trust Management (Pvt) Ltd at No.32, Dudley Senanayake Mawatha, Colombo 08, Sri Lanka.

4. Mode of Payment:

   a) Payments for units can be made by bank draft, bank transfer or cheques crossed 'Account Payee Only' made payable to "ASTRUE ACTIVE INCOME FUND".

   b) If the Applicant is to transfer the funds via a Direct Transfer, please use the bank details of the ASTRUE ACTIVE INCOME FUND mentioned below:
   Name   : ASTRUE Active Income Fund
   Bank   : Hatton National Bank PLC
   Branch   : Head Office
   A/C No   : 003010538015

   c) Payment could also be made by cash by direct deposit to the above bank account. Company staff/representatives are not permitted to accept cash on behalf of the Company.

   d) Cash/cheque deposit slip acknowledged by Bank should be attached to the application.

5. Units in respect of completed applications will only be created when the monies are realized.

6. If valid applications and realized funds are received before 1pm on a Business Day, the issue price will be the previous Business Day's closing Net Asset Value per unit. For any applications and realized funds that are received after 1pm on a Business Day, the issue price will be the closing Net Asset Value per unit of the Business Day. For further details please refer the terms and conditions stated in the Explanatory Memorandum under the clause 'Subsequent Issue of Units' and in the Trust Deed under the clause 'Issue of Units'.

7. Transaction Receipts representing the allotment of Units will be sent to the Primary Applicant, as it appears in the Form within 14 working days, of allotment of such Units.

8. The Manager must be notified in writing in the event of a change of address or Unit Holder details.

9. Applications made by Companies should include its common seal as provided by its Articles of Association and a board resolution approving investment in the fund. In the event an application is made under a power of attorney, a duly certified copy of the said power of attorney should accompany the application. In the event an application is made by a minor, such applications should be signed by a curator, parent or a guardian as provided for in the application. Any dividend distributed will be reinvested until the minor attains majority. Units can be redeemed only after the Minor reaches majority.

10. The price applicable for Units allotted during the initial issue period of the ASTRUE ACTIVE INCOME FUND will be the stipulated issue price of Rs.100/- per unit. The price in lieu of all subsequent applications will be the Managers' selling price prevailing at the time the Units are allotted.