



Asset Trust Management (Pvt) Ltd
 32, Dudley Senanayake Mawatha
 (Castle Street),
 Colombo 08, Sri Lanka
 Telephone: +94112680519 / +94112680536

ASTRUE Fund Application Form

Authorized unit trust, licensed by the Securities & Exchange Commission of Sri Lanka.

*Mandatory Fields

Complete in BLOCK CAPITALS

(Important: please read the notes on the reverse)

Astrue Money Market Fund

Astrue Active Income Fund

Astrue Alpha Fund

1. TO BE FILLED BY ALL APPLICANTS- MANDATORY FIELDS*

Please circle fields as applicable	Sole/primary/minor applicant*				Joint/ guardian applicant 1 ¹				Joint/ guardian applicant 2 ¹			
	Rev	Mr	Mrs/Ms	Other	Rev	Mr	Mrs/Ms	Other	Rev	Mr	Mrs/Ms	Other
Surname with initials/ company name*												
Other names (as denoted by initials)												
NIC/passport/company reg no*												

2. FOLLOWING SECTION NEED NOT BE FILLED BY EXISTING UNITHOLDERS UNLESS TO INFORM A CHANGE OF INFORMATION

Address*/Registered Address: Mailing Address:												
Nationality / country of incorporation*												
Residency in Sri Lanka*	Resident <input type="checkbox"/>		Non resident <input type="checkbox"/>		Resident <input type="checkbox"/>		Non resident <input type="checkbox"/>		Resident <input type="checkbox"/>		Non-resident <input type="checkbox"/>	
Occupation/ designation or industry												
Date of birth / incorporation (if companies) (DD/MM/YYYY) ¹												
Income Tax File No												
Contact details*												
Tel (home)												
Tel (office)												
Mobile												
Email												
Dividend on these units	Sent to my above address <input type="checkbox"/>				re-invested into units <input type="checkbox"/>				sent to my bank <input type="checkbox"/>			
Mailing instructions	*email <input type="checkbox"/> (*please provide email indemnity)								post <input type="checkbox"/>			
Operating instructions (joint applications only)	Any one of us <input type="checkbox"/>		Any two of us <input type="checkbox"/>		All of us <input type="checkbox"/>		Other (PLEASE SPECIFY) <input type="checkbox"/>					

Bank details*

Bank name												
Account Type	Current/SIA/Savings				Current/SIA/Savings				Current/SIA/Savings			
Branch name												
Account number												
Account name/ title												

3. TO BE FILLED BY ALL APPLICANTS- MANDATORY FIELDS

Declaration*	<p>I / WE HAVE READ AND UNDERSTOOD THE KIID PERTAINING TO THE UNITS THAT MAY BE ISSUED PURSUANT TO THIS APPLICATION FORM AND TERMS AND CONDITIONS SET OUT THEREIN.</p> <p>I / WE HEREBY AGREE TO ACCEPT AND TO BE BOUND BY THE PROVISIONS OF THE TRUST DEED AS AMENDED FROM TIME TO TIME.</p> <p>I / WE FURTHER CERTIFY THAT THE PARTICULARS GIVEN ABOVE ARE TRUE AND CORRECT TO THE BEST OF MY/OUR KNOWLEDGE AND BELIEF.</p> <p>I / WE FURTHER DECLARE THAT IN TERMS OF THE FINANCIAL TRANSACTION REPORTING ACT NO 6 OF 2006 AND THE PREVENTION OF MONEY LAUNDERING ACT NO 05 OF 2006, AS AMENDED, THE FUNDS IN RESPECT OF THIS INVESTMENT ORIGINATED FROM LEGITIMATE SOURCES</p> <p>I / WE AGREE THAT THE FUND MANAGER RESERVES THE RIGHT TO REJECT, WITHDRAW OR TERMINATE FORTHWITH WITHOUT NOTICE MY/OUR APPLICATION WITHOUT ASSIGNING ANY REASONS THERETO AND RECOVER RELATED COSTS AND /OR OTHER EXPENSES PERTAINING TO THIS ACCOUNT AND UNDER NO CIRCUMSTANCES SHALL FUND MANAGER BE LIABLE FOR ANY LOSS OR DAMAGES FOR SUCH ACTION.</p> <p>FOR NON-RESIDENT APPLICANTS: I / WE DECLARE THAT I AM / WE ARE RESIDENT OUTSIDE SRI LANKA AT THE TIME OF OPENING OF THIS ACCOUNT AND FURTHER DECLARE THAT THE FUNDS TO BE INVESTED FOR THE PURCHASE OF UNITS IN ANY ISSUE OR TRANSACTIONS WITH THE FUND MANAGER SHALL BE MADE ONLY OUT OF OR INTO AN INWARD REMITTANCE ACCOUNT (IIA) OR AN ACCOUNT MAINTAINED IN A LICENSED COMMERCIAL BANK IN SRI LANKA.</p>			
Signature* Companies should place Company Seal / Stamp				
Date*				
FOR OFFICE USE ONLY				
Date funds realized		Unit price		Units
Back office		Middle office		Approver
Agent code			Agent name	
Client reference number				

Please read these notes before completing the Application Form as you are bound by the terms & conditions below

1. Units are sold based on information contained in the KIID, and such Units shall be issued pursuant to the provisions of the Trust Deed. Copies of the Trust Deed may be purchased from the Manager at Rs.1,000/- per copy.
2. Every application for the purchase of Units should be for a minimum of Rs. 10,000/- and multiples of Rs. 1,000/- thereon. All applications should be submitted along with a duly completed KYC form for which a specimen is provided.
3. Applications can be made at Asset Trust Management (Pvt) Ltd at No.32, Dudley Senanayake Mawatha, Colombo 08, Sri Lanka.
4. Mode of Payment:
 - a) Payments for units can be made by bank draft, bank transfer or cheques crossed 'Account Payee Only' made payable to "ASTRUE MONEY MARKET FUND" or "ASTRUE ACTIVE INCOME FUND" or "ASTRUE ALPHA FUND".
 - b) If the Applicant is to transfer the funds via a Direct Transfer, please use the respective bank details below:

Name : ASTRUE Money Market Fund	Name : ASTRUE Active Income Fund	Name : ASTRUE Alpha Fund
Bank : Hatton National Bank PLC	Bank : Hatton National Bank PLC	Bank : Hatton National Bank PLC
Branch : Head Office	Branch : Head Office	Branch : Head Office
A/C No : 003010553324	A/C No : 003010538015	A/C No : 003010528601
 - c) Payment could also be made by cash by direct deposit to the above bank account. Company staff/representatives are not permitted to accept cash on behalf of the Company.
 - d) Cash/cheque deposit slip acknowledged by Bank should be attached to the application.
5. Units in respect of completed applications will only be created when the monies are realized.
6. If Valid applications and realized funds received before 1pm on a business day (Money Market & Active Income Funds) will receive previous Business Day NAV pricing; after 1pm will receive same Business Day NAV pricing. Applications and funds realized for Alpha Fund received on a business day before 2pm will receive same day NAV pricing; after 2pm will receive next Business Day NAV pricing. For further details refer to the KIID and Trust Deed.
7. Transaction Receipts representing the allotment of Units will be sent to the Primary Applicant, as it appears in the Form within 14 working days of allotment of such Units
8. The Manager must be notified in writing in the event of a change of address or Unit Holder details.
9. Applications made by Companies should include its common seal as provided by its Articles of Association and a board resolution approving investment in the fund. In the event an application is made under a power of attorney, a duly certified copy of the said power of attorney should accompany the application. In the event an application is made by a minor, such applications should be signed by a curator, parent or a guardian as provided for in the application. Any dividend distributed will be reinvested until the minor attains majority. Units can be redeemed only after the Minor reaches majority.
10. The price applicable for Units allotted during the initial issue period of the ASTRUE MONEY MARKET FUND and ASTRUE ALPHA FUND will be the stipulated issue price of Rs.10/- per unit, and for ASTRUE ACTIVE INCOME FUND will be Rs.100/- per unit. The price in lieu of all subsequent applications will be the Manager's selling price prevailing at the time the Units are allotted.